

EXPRESS MAIL CERTIFICATE

Date 3/21/02 Label No. 039499629US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

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Signature [Signature]

Customer No.: 039499629US



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PATENT TRADEMARK OFFICE

Docket No.: 1508/11241US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gregory R. COLLINS; James SUMMERTON; Edward SPENCE

Serial No.: 10/072,464 Art Unit:

Confirmation No.: 1828

Filed: February 7, 2002 Examiner:

For: METHOD AND APPARATUS FOR A HEMODIALFILTRATION DELIVERY MODULE

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 709, (\$ 604 filing; \$ 40 recording; \$ 65 late) in the form of:

☒ check
☐ deposit account no. 04-0100
☐ credit card (see attached form)
(See attached **Fee Computation Sheet**)

3. ☒ Drawings, 19 sheets (Figs. 1a-g, 2-6, 7a-c, 8, 9, 10a-c)
4. ☒ Assignment for recording to: NEPHROS, INC.
5. ☒ Applicant claims small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:	US
Number:	60/267,103
Date:	February 7, 2001

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: March 20, 2002



Edward J. Ellis
Reg. No. 40,389
Attorney for Applicant(s)

DARBY & DARBY P.C.
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Serial No. 10/072,464

Docket No. 1508/11241US1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,464	02/07/2002	Gregory R. Collins	1508/I1241-US1

CONFIRMATION NO. 1828

FORMALITIES LETTER



OC000000007579841

Date Mailed: 03/05/2002

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New York, NY 10022

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$234.
 - \$234 for 26 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 669.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

03/27/2002 HND001
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02 FC205
03 FC203
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370.00 DP
65.00 DP
234.00 DP

Phuong Bui
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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